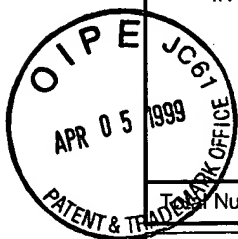


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRANSMITTAL FORM (PATENT)		Application Number	09/127,341
		Filing Date	07/31/98
		First Named Inventor	DeAddio
		Group Art Unit	2761
		Examiner Name	N/A
Total Number of Pages in This Submission		Attorney Docket Number	11021.0005



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APR 06 1999

Group 2761

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached Ck. <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-Related Papers <input type="checkbox"/> Petition Checklist and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Confirming Post Card	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
Remarks: <div style="border: 1px solid black; height: 100px; width: 100%;"></div>		

SIGNATURE OF ATTORNEY			
Attorney/Firm name	Douglas D. Zhang Hughes Hubbard & Reed LLP One Battery Park Plaza New York, NY 10004-1482		
Signature		Date	04/01/99

CERTIFICATE OF MAILING		"Express Mail" Label No. (if sent by Express Mail) →	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231			
		04/01/99	
Typed or printed name	Douglas D. Zhang, Reg. No. 37,985		
Signature		Date	04/01/99

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, DC 20231, on April 5, 1999 (Date of Deposit)

Date 4/1/99

Name René A. Addio



GP 2761

#5
R. Addio
4/14/99

Attorney Docket No. 11021.0005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DeAddio, et al.

Serial No.: 09/127,341

Examiner : Not Yet Designated

Filed: July 31, 1998

Art Unit.: 2761

For: OBJECT ORIENTED SYSTEM FOR MANAGING COMPLEX FINANCIAL INSTRUMENTS

LETTER

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TO: Assistant Commissioner of Patents
Washington, D.C. 20231

APR 7 1999

Group 2700

This letter concerns the Information Disclosure Statement ("IDS") filed in this case earlier today (April 1, 1999).

Reference no. 5 cited in said IDS comprised pages 117-130 of the 1983 book entitled "SMALLTALK-80: The Language and its Implementation," by Goldberg & Robson. After the mailing of the IDS, the undersigned noticed that a copy of this *entire book*, consisting of more than 700 pages, was inadvertently submitted.

As noted in the IDS form that was submitted, all that was actually cited from this reference were the noted pages, pp. 117-130. For purposes of clarification, the pages of said reference that the applicant actually wishes the Examiner to review are attached

hereto. The Examiner need not review anything from reference no. 5 other than said pages.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Ronald Abramson', written over the printed name.

Ronald Abramson
Registration No. 34,762
Attorney for Applicant

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April 1, 1999